

09-09-1998

Form PTO-1594
1-31-92EET
YU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100825090

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Geo Specialty Chemicals, Inc.

- ☐ Individuals ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State: Ohio
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other

Execution Date: July 31, 1998

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

2. Name and address of receiving party(ies):

Name: Bankers Trust Company

Internal Address:

Street Address: 130 Liberty Street

City: New York State: New York ZIP: 10006

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☒ Corporation-State: New York
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Trademark Registration No.(s)\

758654

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kimberly V. Miller

Internal Address: White & Case LLP

Street Address: 1155 Avenue of the Americas

City: New York State: NY ZIP: 10036

6. Total number of applications and registrations involved

3

7. Total fee (37 CFR 3.41): \$ 90.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account, in case of deficiency

8. Deposit account number:

23-1705

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kimberly V. Miller

Name of Person Signing

Signature

Date

09/09/1998 SSNITH 00000092 758654

Total number of pages comprising cover sheet: 1

01 FC1481 o. 0651-0011 (exp. 4/94) 40.00 OP
02 FC1482 30.00 OP

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

**ASSIGNMENT OF SECURITY INTEREST
IN UNITED STATES TRADEMARKS**

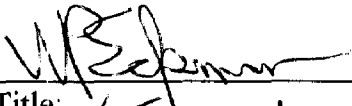
FOR GOOD AND VALUABLE CONSIDERATION, receipt and sufficiency of which are hereby acknowledged, GEO SPECIALTY CHEMICALS, INC., an Ohio corporation ("the Assignor") with principal offices at 28601 Chagrin Boulevard, Suite 450, Cleveland, OH 44122, hereby assigns and grants to Bankers Trust Company, as Collateral Agent, with principal offices at 130 Liberty Street, New York, New York 10006 (the "Assignee"), a security interest in (i) all of the Assignor's right, title and interest in and to the United States trademarks, trademark registrations and trademark applications (the "Marks") set forth on Schedule A attached hereto, (ii) all Proceeds (as such term is defined in the Security Agreement referred to below) and products of the Marks, (iii) the goodwill of the businesses with which the Marks are associated and (iv) all causes of action arising prior to or after the date hereof for infringement of any of the Marks or unfair competition regarding the same.

THIS ASSIGNMENT OF SECURITY INTEREST is made to secure the satisfactory performance and payment of all the Obligations of the Assignor, as such term is defined in the Security Agreement among the Assignor, the other assignors from time to time party thereto and the Assignee, dated as of March 25, 1997 (as amended from time to time, the "Security Agreement"). Upon termination of the Security Agreement pursuant to Section 10.8 thereof, the Assignee shall, upon such satisfaction, execute, acknowledge, and deliver to the Assignor an instrument in writing releasing the security interest in the Marks acquired under this Assignment.

This Assignment has been granted in conjunction with the security interest granted to the Assignee under the Security Agreement. The rights and remedies of the Assignee with respect to the security interest granted herein are without prejudice to, and are in addition to those set forth in the Security Agreement, all terms and provisions of which are incorporated herein by reference. In the event that any provisions of this Assignment are deemed to conflict with the Security Agreement, the provisions of the Security Agreement shall govern.

IN WITNESS WHEREOF, the undersigned have executed this Agreement as of
the 31st day of July, 1998.

GEO SPECIALTY CHEMICALS, INC.,
Assignor

By 
Title: Chief Financial Officer

BANKERS TRUST COMPANY, as Collateral
Agent, Assignee

By 
Title: _____

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

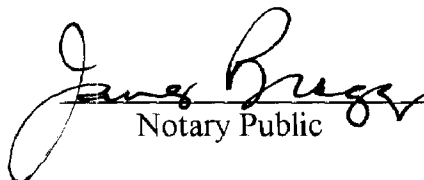
On this 31st day of July, 1998, before me personally came

MARY KAY COYLE who, being by me duly sworn, did state as follows: that [s]he is

MANAGING DIRECTOR of Bankers Trust Company, that [s]he is authorized to execute the

foregoing Assignment on behalf of said corporation and that [s]he did so by authority of the

Board of Directors of said corporation.


Notary Public

JAMES BRAGG
Notary Public, State of New York
No. 01BR6005423
Qualified in New York County
Commission Expires April 13 2000

STATE OF NEW YORK)
)
COUNTY OF NEW YORK)

ss.:

On this 31 day of JULY, 1998, before me personally came

William P. Eckman who, being by me duly sworn, did state as follows: that [s]he is

CFO of GEO Specialty Chemicals, Inc., that [s]he is authorized to execute the

foregoing Assignment on behalf of said corporation and that [s]he did so by authority of the

Board of Directors of said corporation.

Anna M. Mont'Ena

Notary Public

ANNA M. MONTETNA
Notary Public, State of New York
No. 01MO6003422
Qualified in Queens County
Certificate Filed in New York County
Commission Expires March 2, 2000

SCHEDULE A
TO
ASSIGNMENT OF SECURITY INTEREST
IN UNITED STATES TRADEMARKS

DMPA	758654
TRIMET	1985801
TRIMET	611268

10031492.1 7/29/98

RECORDED: 09/03/1998

TRADEMARK
REEL: 1784 FRAME: 0561